US DEPARTMENT OF COMMERCE PATENT AND TRAY TAK OFFICE

Atty. Docket No. IN-5555

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LIST OF ART CITED BY APPLICANT (Use sever of sheets if necessary)

(APPLICANT In re Application of:RöCKRATH et al.

Serial No.: Herewith

					1		TRAN	SLATION
Examiner nitial	•	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
-	-AA	43 28 092 A1	23.02.95	-DE	C09D	175/04		×
	AB	40-11-045-A1	10.10.91	DE	B05D	7/02		Х
	AG	40 20 316 A1	9.1.92	DE	C09D	183/04		х
	AD,	43 03 570 A1	11.8.94	-DE	B05D	7/26		X
	AF	30 28 124 A1	3.3.88	DE	C09D	3/727		X
	-AG	0 584 818 B1	26:08:93	EP-	C08G	18/08		×
	AH	0 581 211 B1	23.07.93	EP	-608G-	18/08		X-
	Al	0.596 461 B1	03.11.93	EP CA 82170	C09D	201/00		X
	-AJ	0 523 610 A1	14.07.92	EP54/8264	C09D	163/00		X
	AK	0 536 712 B1	07.10.92	-EP 5416 136	C09D	201/02		Х
	AL	197 09 465 A1	17.09.98	DE	B05D	7/26		X
	AM	44-21-823-A1	04.01.96	-DE-	C09D	175/04		X.
	AN	28 48 906 A1	17:05.79	-DE	C08F	20/18		X
B	AO	2102170	08.24.01	CA	C09D	133/00	*	
SB	AP	2079498	08.24.01	CA	B05D	3/06	IXU	
	AQ	41 10 520 C2	12.12.96	-DE-	C09D	201/00	-	Х
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^{*}Applicant has modified this form by deleting the word "prior".

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US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

LIST OF ART CITED BY APP (Use sever of sheets if necessary)

Atty. Docket No. IN-5555

Recei PCT/PTO 1 4 FEB 2002 ATH et al.

In re Application of: Rö

Serial No.: Herewith

Filed: Thursday, February 14, 2002

AA	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
AA	1					APPROPRIATE
L	4,873,298	10/10/89	Ryntz	525	479	04/18/88
AB	4,766,185	08/23/88	Ryntz et al.	525	479	04/22/87
AC	4,383,068	05/10/83	Brandt	524	196	01/15/79
AD	4,311,622	1/19/82	Buter	260	18	1/31/80
AE	4,220,679	9/2/80	Backhouse	427	401	4/17/78
AF	4,576,868	3/18/86	Poth et al.	428	423.1	9/14/84
AG	5,079,312	1/7/92	Isozaki et al.	525	479	5/11/90
AH	4,981,759	1/1/91	Nakatani et al.	428	626	5/2/88
Al	4,677,028	6/30/87	Heeringa et al.	428	422.8	2/20/86
AJ	4,914,148	4/3/90	Hille et al.	524	507	12/11/86
AK	4,945,128	7/31/90	Hille et al.	524	591	82/6/87
AL	3,639,147	2/1/72	Benefiel et al.	117	73	2/3/70
AM	4,268,542	5/19/81	Sakakibara et al.	427	195	1/25/79
AN	4,675,234	6/23/87	Sachs et al.	428	328	5/17/85
AO	5,342,882	8/30/94	Göbel et al.	524	832	7/22/93
AP	5,571,861	11/5/96	Klein et al.	524	591	10/21/94
AQ	5,569,705	10/29/96	Vogt-Birnbrich et al.	524	591	2/27/95
AR	5,084,541	1/28/92	Jacobs, III et al.	528	45	5/14/90
AS	5,691,425	11/25/97	Klein et al.	525	455	7/2/92
AT	5,552,496	9/3/96	Vogt-Birnbrich et al.	525	440	2/27/95
AU	5,658,617	8/19/97	Göbel et al.	427	372.2	2/5/96
AV	5,601,880	2/11/97	Schwarte et al.	427	407.1	8/5/94
AW	5,726,258	3/10/98	fSCHER ET AL.	526	64	7/1/96
AX	5,703,156	12/30/97	Sauer	524	802	7/5/96
AY	4,489,135	12/18/84	Drexler et al.	428	423.1	2/18/83
	AD AE AF AG AH AI AJ AK AL AM AN AO AP AQ AR AS AT AU AW AX	AD 4,311,622 AE 4,220,679 AF 4,576,868 AG 5,079,312 AH 4,981,759 AI 4,677,028 AJ 4,914,148 AK 4,945,128 AL 3,639,147 AM 4,268,542 AN 4,675,234 AO 5,342,882 AP 5,571,861 AQ 5,569,705 AR 5,084,541 AS 5,691,425 AT 5,552,496 AU 5,658,617 AV 5,601,880 AW 5,726,258 AX 5,703,156 AY 4,489,135	AD 4,311,622 1/19/82 AE 4,220,679 9/2/80 AF 4,576,868 3/18/86 AG 5,079,312 1/7/92 AH 4,981,759 1/1/91 AI 4,677,028 6/30/87 AJ 4,914,148 4/3/90 AK 4,945,128 7/31/90 AL 3,639,147 2/1/72 AM 4,268,542 5/19/81 AN 4,675,234 6/23/87 AO 5,342,882 8/30/94 AP 5,571,861 11/5/96 AQ 5,569,705 10/29/96 AR 5,084,541 1/28/92 AS 5,691,425 11/25/97 AT 5,552,496 9/3/96 AU 5,658,617 8/19/97 AV 5,601,880 2/11/97 AW 5,726,258 3/10/98 AX 5,703,156 12/30/97 AY 4,489,135 12/18/84	AD 4,311,622 1/19/82 Buter AE 4,220,679 9/2/80 Backhouse AF 4,576,868 3/18/86 Poth et al. AG 5,079,312 1/7/92 Isozaki et al. AH 4,981,759 1/1/91 Nakatani et al. AI 4,677,028 6/30/87 Heeringa et al. AJ 4,914,148 4/3/90 Hille et al. AK 4,945,128 7/31/90 Hille et al. AL 3,639,147 2/1/72 Benefiel et al. AM 4,268,542 5/19/81 Sakakibara et al. AN 4,675,234 6/23/87 Sachs et al. AO 5,342,882 8/30/94 Göbel et al. AP 5,571,861 11/5/96 Klein et al. AQ 5,569,705 10/29/96 Vogt-Birnbrich et al. AR 5,084,541 1/28/92 Jacobs, III et al. AS 5,691,425 11/25/97 Klein et al. AU 5,658,617 8/19/97 Göbel et al. AV 5,601,880 2/11/97 Schwarte et al. AX 5,703,156 12/30/97 Sauer AY 4,489,135 12/18/84 Drexler et al.	AD 4,311,622 1/19/82 Buter 260 AE 4,220,679 9/2/80 Backhouse 427 AF 4,576,868 3/18/86 Poth et al. 428 AG 5,079,312 1/7/92 Isozaki et al. 525 AH 4,981,759 1/1/91 Nakatani et al. 428 AJ 4,914,148 4/3/90 Hille et al. 524 AK 4,945,128 7/31/90 Hille et al. 524 AL 3,639,147 2/1/72 Benefiel et al. 117 AM 4,268,542 5/19/81 Sakakibara et al. 427 AN 4,675,234 6/23/87 Sachs et al. 428 AO 5,342,882 8/30/94 Göbel et al. 524 AQ 5,569,705 10/29/96 Vogt-Birnbrich et al. 524 AR 5,084,541 1/28/92 Jacobs, III et al. 528 AS 5,691,425 11/25/97 Klein et al. 525 AU 5,658,617 8/19/97 Göbel et al. 427 AV 5,601,880 2/11/97 Schwarte et al. 427 AW 5,726,258 3/10/98 fSCHER ET AL. 526 AX 5,703,156 12/30/97 Sauer 524 AY 4,489,135 12/18/84 Drexler et al. 428	AD 4,311,622 1/19/82 Buter 260 18 AE 4,220,679 9/2/80 Backhouse 427 401 AF 4,576,868 3/18/86 Poth et al. 428 423.1 AG 5,079,312 1/7/92 Isozaki et al. 525 479 AH 4,981,759 1/1/91 Nakatani et al. 428 626 AI 4,677,028 6/30/87 Heeringa et al. 428 422.8 AJ 4,914,148 4/3/90 Hille et al. 524 507 AK 4,945,128 7/31/90 Hille et al. 524 591 AL 3,639,147 2/1/72 Benefiel et al. 117 73 AM 4,268,542 5/19/81 Sakakibara et al. 427 195 AN 4,675,234 6/23/87 Sachs et al. 428 328 AO 5,342,882 8/30/94 Göbel et al. 524 591 AQ 5,569,705 10/29/96 Vogt-Birnbrich et al. 524 591 AR 5,084,541 1/28/92 Jacobs, I'll et al. 525 455 AT 5,552,496 9/3/96 Vogt-Birnbrich et al. 525 440 AU 5,658,617 8/19/97 Göbel et al. 427 372.2 AV 5,601,880 2/11/97 Schwarte et al. 428 423.1 AN 5,726,258 3/10/98 ISCHER ET AL. 526 64 AX 5,703,156 12/30/97 Sauer 524 802 AY 4,489,135 12/18/84 Drexler et al. 428 423.1

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US DEPARTMENT OF SOMMERCE PATENT AND TRAIL RK OFFICE

Atty. Docket No. IN-5555

C13 Roc'd PCT/PTO 1 4 FEB 2002

LIST OF ART CITED BY APPLICANT (Use sever of sheets if necessary)

In re Application of: RöCKRATH et al.

Serial No.: Herewith

Filed: Thursday, February 14, 2002

				TENT DOCUMENTS	τ		
kaminer itial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
AB	AA	4,710,542	12/1/87	Forgione et al.	525	127	5/16/86
<u> </u>	AB	5,605,965	2/25/97	Rehfuss et al.	525	100	11/3/94
	AC	5,356,669	10/18/94	Rehfuss et al.	427	407.1	10/23/92
	AD	5,474,811	12/12/95	Rehfuss et al.	427	407.1	5/11/94
\top	AE	4,730,020	3/8/88	Wilfinger et al	524	555	2/27/86
	AF	4,851,460	7/25/89	Stranghöner et al.	523	407	8/18/87
	AG	4,895,910	1/23/90	Isozaki et al.	525	326.5	2/29/88
	АН	4,939,213	7/3/90	Jacobs, III et al.	525	329.9	12/19/88
	Al	5,965,213	10/12/99	sACHARSKI ET AL.	427	475	4/4/96
	AJ	4,754,014	6/28/88	Ryntz et al	528	28	2/24/87
	AK	3,953,644	4/27/76	Camelon et al.	428	220	12/20/74
	AL	5,425,970	6/20/95	Lahrmann et al.	427	493	5/6/93
	AM	5,334,420	8/2/94	Hartung et al.	427	407.1	3/13/91
	ÄN	5,601,878	2/11/97	Kranig et al.	427	386	6/23/93
	ÃO	5,623,016	4/22/97	Klein et al.	524	591	6/1/95
	AP	5,654,391	8/5/97	Göbel et al.	528	71	4/20/95
	AQ	5,075,372	12/24/91	Hille et al.	524	839	2/6/87
	AR	4,246,382	6/20/81	Honda et al.	526	79	11/6/78
W.	AS	4,880,867	11/14/89	Gobel et al.	524	507	8/18/87
	ΑT						
\mathscr{B}	AU	5,418,264	5/23/95	Obloh et al.	523	414	3/3/94
	AV	5,576,386	11/19/96	KEMPTER ET AL.	526	88,	1/28/93
1/3	AW	6,309,707	10/30/01	Mayer et al.	427	386	12/20/96
	AX						
163	AY	5,236,995	8/17/93	Salatin et al.	524	591	3/26/91
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*Applicant has modified this form by deleting the word "prior".

US DEPARTMENT OF COMMERCE PATENT AND TRA RK OFFICE

Atty. Docket No. IN-5555

Region PCTAPTO 1 4 FEB 2002

LIST OF ART CITED BY APPLICANT (Use sever of sheets if necessary)

In re Application of: RöCKRATH et al.

Serial No.: Herewith

Filed: Thursday, February 14, 2002

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xaminer nitial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	_AA						
	AB						
YB.	AC	3,547,848	12/15/70	Marsh et al.	260	22	11/3/67
	AD	5,635,564	6/3/97	Wieditz et al.	525	194	6/24/94
	AE	5,672,649	9/30/97	Brock et al.	524	507	9/4/96
	AF	5,512,322	4/30/96	Hille et al.	427	407.1	11/4/94
	AG	4,629,287	12/16/86	Bishop	350	96.34	2/25/85
	AH	5,416,136	5/16/95	Konzmann et al	523	414	9/29/94
	Al	5,486,384	11/5/93	Bashan et al	427	493	11/5/93
	AJ	6,001,915	12/499	Schwarte et al.	524	457	10/18/95
-+	AK	6,329,020	12/11/01	Patzchke et al.	429	407	3/19/97
	AL	5,368,944	11/29/94	Hartung et al.	428	423.1	2/1/92
$\neg \forall$	AM	5,370,910	12/6/94	Hille et al.	427	407.1	7/24/89
	AN						
AB	AO	5,760,128	6/2/98	Baltus et al.	524	591	2/27/91
	AP						
AB	AQ	6,001,947	12/14/99	Brünnemann et al.	528	28	5/25/96
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Atty. Docket No. IN-5555

LIST OF ART CITED BY APPLICANT

(Use sever of sheets if necessary)

In re Application of: RöCKRATH et al.

Serial No.: Herewith

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Examiner nitial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YEŞ	NO
AB-	AA	WO 00/50178	31.08.00	EP PCT Abstract	B05D	7/00		X_
NB	AB	WO 00/26309	11.05.00	FOT Abstract	C09D	143/04		Х
~	AC.	WO 96/38490	05.12.96	er pri	C08G	18/62		X
M	AD	0 284 679 A1	01.04.87	EP	C09D	3/82	**	
J15	AF	WO95/14721	1/6/95	ES PO Abstract	C08F	290/14		X.
DB-	AG	WO94/22968	13/10/94	PCT Abstract	C09D	133/06		X.
XB	AH	WO96/12747	2/5/96	EP PO Abstract	C08F	285/00		Х
	Al	34 07 087 A1	5.9.85	PE	C09D	3/82	-	Х
	AJ_	41-22-743-C1	26.11.92	DE	C09D	4/00		X
	AK	38-28-098-A1	8.3.90	DE	C08G	77/58		X
	AL	0 256 540 A2	15.08.87	EP 488 ?867	C09D	3/49		X
	AM	0 678 536 A1	21.04.95	EP 5569 705 565 ? 391	C08G	18/08		Х
	AN	0 234 362 A1	06.02.87	EP 5275 372	C08G	18/08		X
	AO	0 234 361 A1	06.02.87	EP 4947 128	C08G	18/08		X
	AP.	0-228-003-A1	11.12.86	EP 4945128	B05D	7/16		X
	J	OTHER AR	T (Including Au	thor, Title, Date, Pertinent Pag	jes, Etc.)			
B	AQ	ENGLISH ABSTRAC	T COVER PA	AGE OF THE INTERNATIO	NAL PUBI	LICATION W	O00/5	0178
AB.	AR	ENGLISH ABSTRAC	790760 T COVER PA	AGE OF THE INTERNATIO	NAL PUBI	ICATION W	000/2	6309
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US DEPARTMENT OF COMMERCE PATENT AND TRAINER PROPERTY OF THE P

Atty. Docket No. IN-5555

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LIST OF ART CITED BY APPLICANT (Use sever of sheets if necessary)

In re Application of: RöCKRATH et al.

Serial No.: Herewith

				Filed: Thursday, Februar	y 14, 2002	2		
			FOREIGN	PATENT DOCUMENTS				
Examiner Initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO NO
BB	AA	0 604 922 A1	23.12.93	EP	C08K	5/3492	图	
	AB	669-356A1	22.02.95	EP	C086	18/83		
	AC	0 195 931 A1	19.02.86	EP	C09D	3/80		Х
	-AÐ	0 297 576 A1	30:06:88	EP	C09D	3/72		X
	AF	0.708.788.B1	-16.06.94	EP	C08G	18/08		X
	AG_	730 613 B1	18:11:94	EP	C08F	290/14		X
	AH	0 260 447 A2	-15.08.87	EP 4857460	C08F	283/10		Х
	Al	0 649 865 B1	15.10.94	5623016	C08G	18/67		Х
	.AJ	0-634-431-A1	13.07.94	EP	C08G	18/12		Х
PB	AK	0 354 261 A1	11.08.88	EP	C08G	18/50	12	
	-AL-	0 593 454 B1	09:03:91	EP. 3601 880	B05D	-7/26		X
	-AM	0 089 497 A2	21.02.83	EP 4489135	C09D	3/72		Х
CAN SERVICE SERVICES CONTRACTOR	AN	-38-07-571-A1	22.9.88	DE	C08L	83/07		Х
	-AQ	0 568 967 A2	04.05.93	EP	-B05D	3/00		Х
	AP	0 540 884 A1'	03.10.92	ED	-B05D-	3/06	Company of a graph constraint	X
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IB.	AQ	ENGLISH ABSTRAC	CT COVER PA	AGE OF THE INTERNATIO	NAL PUB	LICATION W	1095/14	4/21
03	AR	ENGLISH ABSTRAC	CT COVER PA	AGE OF THE INTERNATIO	NAL PUB	LICATION W	094/2	2968
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^{*}Applicant has modified this form by deleting the word "prior".

US DEPARTMENT OF COMMERCE PATENT AND TRAFFICE

Atty. Docket No. IN-5555

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LIST OF ART CITED BY APPLICANT
(Use sever of sheets if necessary)

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In re Application of: RöCKRATH et al.

Serial No.: Herewith

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Examiner nitial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
	- AA-	196 13 547 G2	7.11.96	-DE-	C09D	5/46		X
	AB.	42 22 194 A1	-13:01:94	DE	C09D	5/46		X
SB	AC	0 594 142 A1	20.10.93	EP	C08L	57/12	X	
My	AD	0 594 071 A1	15.10.93	EP	C09D	201/02	000	
SB	AF	0 594 068 A1	15.10.93	EP	C09D	201/02	1XX	
AB.	AG	0 249 201 A2	10.06.87	EP	C09D	3/58	E	
	AH-	37-06-095-A1	27:08.87	DE	C08G	77/20		X
Sty	Al	0 358 153 B1	04.09.89	EP	C09D	143/04	IX	
	Al	0 521 928 B1	13.03.91	EP 5334 420	C08E	-299/06	MONEY COMMEN	X
	AK	0 522 420 A2	30.06.92	EP CA 21021	C081	3/03	麥	
	AL	0 522-419 A1	30.06.92	EP	-C08G-	-18/67	mandari exemple	Х
B	AM -	0 245 700 A2	29.04.87	EP	C07D	251/54	¥	
-13	AN	0 276 501 A2	26.09.87	EP	C11D	1/42	*	
<u> </u>	AQ.	0-596-460-A2	03.11.93	EP CA 2162169	€09D-	-201/00	Company of the Compan	-X
DE	AP	0 424 705 A2	04.10.90	EP	C08F	283/00	KW.	
		OTHER AR	T (Including Au	thor, Title, Date, Pertinent F	ages, Etc.)			
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US DEPARTMENT OF COMMERCE PATENT AND TRA

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Filed: Thursday, February 14, 2002

		T	T	PATENT DOCUMENTS			TRAN	SLATION
Examiner nitial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
SB	AA	WO97/49745	31.12.97	PP PCT	C08G	18/08	*	
B	AB	WO97/49747	31.12.97	EP PCT	C08G	18/75	8	
QB.	AC	WO92/22615	23.12.92	EP PCT Abstract	C09D	151/08		Χ.
AB	AD	WO96/12754	2.5.96	EP PCT	C08G	63/20	爱	
DB	AF	WO93/17060	2.9.93	EP PCT	C08G	63/02	X	
1B	AG	WO97/12945	10.04.97	EP PCT	C09D	5/04Q	₹	
SB	AH	WO94/10211	11.5.94	EP PCT	C08F	8/30	3	
AB.	Al	WO94/10212	11.5.94	EF PCT	C08F	8/30	*	
JB_	AJ	WO94/10213	11.5.94	EPPCT	C08F	8/30	*	
DB	AK	WO94/22969	13.10.94	EP PCT Abstract	C09D	133/06		Х
B	AL	2,102,169	94.05.07	CA	C09D	175/14	1084	
SP	AM	0 471 972 A2	11.07.91	EP	C09D	5/38	Ble	
AB	AN	0 624 577 A1	13.05.94	EP	C07D	251/70	7	
B	AO	0 320 552 A1	18.12.87	EP	B05D	7/16	Way	
B	AP	0 401 565 A1	16.05.90	EP	C09D	5/02	3KN	۴.
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*Applicant has modified this form by deleting the word "prior".

US DEPARTMENT OF COMMERCE PATENT AND TRACE RK OFFICE

Atty. Docket No. IN-5555

Rec'd PCT/PTO 1 4 FEB 20

LIST OF ART CITED BY APPLICANT (Use sever of sheets if necessary) In re Application of: RöCKRATH et al.

Serial No.: Herewith

NUMBER								TRAN	SLATION
AB 0 299 148 A2 13.04.88 EP C08G 18/08 MAA AC 0 590 484 A1 22.09.93 EP C08G 18/08 MAA B AD 0 038 127 A1 24.03.81 EP B05D 7/26 AF 0 069 936 A2 02.07.82 EP C09D 3/00 X AG 0 574 417 B1 01.02.92 EP B06D 5/06 X AH 0 554 783 A1 28:01:93 EP B10J 19/18 X B AI 0 844 286 A1 23.12.97 EP C09D 5/03 MAA AJ 40 25 215 A1 13:02.92 DE C08G 59/50 X AK 28 48 100 A1 09:11:78 DE B05D 7/18 X AL 39 03 804 A1 23:08:90 DE C09D 175/06 X AM 38 14 853 A1 10:11:88 DE C25D 13/06 X AN 33 33 37 372 A1 21:03.85 DE C09D 3/72 X AO 195 24 482 A1 09:01.97 DE C08F 212/08 X AR AS	xaminer itial			DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
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US DEPARTMENT PATENT AND TRA OMMERCE RK OFFICE

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LIST OF ART CITED BY APPLICANT (Use sever of sheets if necessary)

In re Application of: RöCKRATH et al.

Serial No.: Herewith

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US DEPARTMENT OF COMMERCE PATENT AND TRAIN ARK OFFICE

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LIST OF ART CITED BY APPLICANT (Use sever of sheets if necessary) In re Application of:RöCKRATH et al.

Serial No.: Herewith

Filed: Thursday, February 14, 2002

_AA	BASF Corp. et al., US Patent Appln. 09/890,760, entitled Highly scratch resistant multilayer
	method for producing and use of the same", August 2, 2001, pages 1-55 and Abstract 1-2.
AB	BASF Corp. et al., US Patent Appln. 09/807.711, entitled Coating agent with a macromer u
	the production of multi-layer coatings", April 17, 2001, pages 1-27 and abstract 1 page.
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